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ORIGINALLY FILED

Attorney Docket No.: JJL14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Jung et al.

Serial No.: 10/081,879

Filed: February 21, 2002

For: Miniaturized System and Method for  
Measuring Optical Characteristics

Examiner: Unknown

Group Art Unit: 2673

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

ATTENTION: Official Draftsperson

TRANSMITTAL OF FORMAL DRAWINGS

Applicant hereby submits FIFTEEN ( 15 ) sheets of Formal drawings for filing in the above-identified application. The Response to Missing Parts, including Declaration and Fee Transmittal is being submitted concurrently herewith. Also enclosed herewith is a Change of Address form.

Respectfully submitted,

Alan R. Loudermilk  
Attorney for Applicant(s)  
Registration No.: 32,788

Date: June 10, 2002  
Loudermilk & Associates  
P. O. Box 3607  
Los Altos, CA 94024-0607  
(408) 342-1866

2003 JUN 18 10 08 AM '02



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I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Box Missing  
Parts, Washington, D.C. 20231, on June 10, 2002

Karen A. Rogers

(Print or type name of person signing the certificate)

Karen A. Rogers

(Signature of person signing the certificate)

June 10, 2002

(Date of Signature)

Assistant Commissioner for Patents  
BOX: Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed April 10, 2002 (copy attached), Applicant submits herewith the Declaration and Power of Attorney, Fee Transmittal, and Formal Drawings in the above-identified application.

Please charge the surcharge of \$65.00 for filing this document to Deposit Account No. 50-0251. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251. An additional copy of this transmittal is enclosed.

Respectfully submitted,

Alan R. Loudermilk

Alan R. Loudermilk  
Registration No. 32,788  
Attorney for Applicant(s)

Date: June 10, 2002  
10950 North Blaney Ave., Suite B  
Cupertino, CA 95014  
408-342-1866

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,879	02/21/2002	Wayne D. Jung	JJL14

CONFIRMATION NO. 9920

## FORMALITIES LETTER



\*OC000000007839013\*

Loudermilk & Associates  
10950 N. Blaney Avenue, Suite B  
Cupertino, CA 95014

Date Mailed: 04/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The signature of the following inventor(s) is missing from the oath or declaration:  
*Walter W. Sloan*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

06/24/2002 MAHME1 00000083 500251 10091879

01 FC:201 5.00 CH  
02 FC:205 65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Ted

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 435.00

## Complete if Known

Application Number 10/081,879  
Filing Date 02/21/2002  
First Named Inventor Jung  
Examiner Name  
Group Art Unit  
Attorney Docket No. JJL14

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0251  
Deposit Account Name Loudermilk & Associates

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 370.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
1	-20** = 0	X	
1	-3** = 0	X	
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 65.00

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Alan R. Loudermilk	Registration No. (Attorney/Agent)	32,788	Telephone	408-342-1866
Signature				Date	6/10/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.